COUNTER-PROPOSAL FROM THE CERRITOS COMMUNITY COLLEGE DISTRICT TO THE CERRITOS COLLEGE FACULTY FEDERATION, AFT Local 6215

MAY 3, 2024

This proposal from the Cerritos Community College District to the Cerritos College Faculty Federation, AFT Local 6215 ("CCFF") is expressly made pursuant to the Educational Employment Relations Act and the current Collective Bargaining Agreement between the parties. The following article shall be added to the Collective Bargaining Agreement as set forth below:

NEW ARTICLE:

DUE PROCESSInvestigations and Discipline

Application of Article: The terms of this Article are intended to ensure that the due process rights of full-time faculty personnel are observed during pre-disciplinary processes, including paid administrative leave and investigations. The terms of this Article are also intended to ensure the due process rights of full-time faculty personnel regarding the as well as the issuance of any corrective notice related to a demonstrated failure to meet professional expectations as defined in Article 4: Assignment. that does not involve any suspension/dismissal processes required by the California Education Code. Suspension or dismissal of contract and regular faculty may be issued for any cause enumerated in California Education Code section 87732, and will be conducted in accordance with the procedures provided in the California Education Code section 87660 et seq. Nothing in this Agreement shall preclude the District from utilizing those disciplinary procedures as provided in the Education Code.

42.1 Misconduct Investigations and Representation for All Unit Members

42.1.1 Misconduct Investigations: The parties acknowledge that the District has the authority and/or legal duty to investigate complaints, reports, observations and/or other credible information from a source identifiable by the District from an identifiable source that a unit member has allegedly engaged in some form of misconduct, including allegations that a full-time faculty unit member has violated applicable legal standards, board policies, and/or administrative procedures. The District will conduct the investigation in accordance with applicable Board Policy and Administrative Procedures, as well as any mandatory external requirements imposed by law, such as Title IX and/or Title 5 of the California Code of Regulations.

[Note: Per our discussion, we seem to be in agreement that the identity of the source is important, and which is also a factor in determining whether the information is "credible" – the District simply wants to eliminate the foreseeable potentiality of having to name the source(s) at the investigatory stage in those circumstances where retaliation is contemplated (the teambased example that was discussed).]

42.1.1 Notification of Misconduct Investigation: Prior to conducting any investigatory interview or meeting with a unit member subject to a misconduct investigation, the District must send them an Employee Notification Packet no later than ten (10) notice five (5) business working days before the member's appointment for an investigatory interview. The District may amend the Notice prior to the interview, which shall restart the five (5) business working day period. The Notification Packet shall include two documents: (1) the Employee Notification

Form, which provides unit member the ability to opt out from CCFF notification and representation (see Appendix X attached to this Agreement), and (2) the Summary of Interview Subject Matter, which specifically The Notice will include a specific general statement of the matter to be investigated, and will typically include the following information:

[Note: Reversion to "business" days as a more consistent metric, to eliminate foreseeable confusion relating to when faculty are actually scheduled; e.g. it would be M-F rather than 5x Mondays for a PT faculty with a Monday-only assignment.]

- 42.1.1.1 The name or description of the complainant(s) or individual(s) whose concerns caused the District to initiate an investigation
- 42.1.1.2 What allegedly occurred. The faculty member shall be entitled to aA description of the subject matter and/or the specific alleged conduct or actions at issue in the investigation
- 42.1.1.3 When the incident(s) allegedly occurred. This notice shall be as specific as possible, based on the information available at the time the notice is given If the District utilizes an outside independent investigator, the notice shall include the identity and/or means of contacting the investigator.
- 42.1.1.4Where possible, the basic details A description (where/when/how) thatof the incident(s) that allegedly occurred. The notice shall be as specific as possible based on the information available, including protective measures in place, at the time the notice was given. Notice of Investigation: The District may initiate an investigation at any time, and must provide notice to the full-time faculty member prior to any investigatory interview. The District provided notice shall include a statement concerning the general nature of the allegations under investigation, and shall allow the member an opportunity to confer with their representative (if applicable) prior to the interview. If the District utilizes an outside independent investigator, the notice shall include the identity and/or means of contacting the investigator.
- 42.1.2 If, after interviewing the unit member, the District learns of other allegations during the investigation and seeks to reinterview the unit member, the unit member shall be provided with a new Noticesummary of Interview Subject Matter document at least five (5) business working days prior to the subsequent interview.
- 42.1.3 If, prior to interviewing the unit member, the District learns of other allegations it wishes to cover during the interview, the unit member shall receive an updated Summary of Interview Subject Matter document at least five (5) business days prior to the interview.

42.1.4 Notice of Findings Conclusion of Investigation: The District shall make best efforts to complete the investigation within the timeframe required by the type of complaint, subject to any extensions/continuances required to ensure the investigation is fully and fairly completed. Following completion of the investigation the District shall provide a unit member/respondent with a conclusion of investigation notice which shall include a summary of findings from the investigatoralleged policy that was violated, the name of the individual(s) who completed the investigation, the name of the individual(s) who filed the complaint, and a summary of findings. Concurrently,

42.1.5 Notice of aAdministrative dDetermination: The District shall provide a unit member/respondent with an administrative determination as outlined in appendix XX notice and a summary of findings, in addition to any administrative determination and/or appeal rights to any and appeal rights, when applicable, to any unit member/complainant as may be required by the type of complaint.

42.2 Involuntary Paid Administrative Leave: The parties acknowledge that the District may place a unit member on involuntary paid administrative leave consistent with the requirements of Education Code Section 87623.

Consistent with Education Code Section 87623, placement on involuntary paid administrative leave maintains all compensation and benefits to which the unit member would have been entitled. It is understood that it is within the District's discretion to place a unit member on involuntary paid administrative leave during the pendency of an misconduct investigation, which may include circumstances in which. As such, the leave shall be imposed only where the District concludes that the alleged conduct includes: a) foreseeable acts of retaliation or intimidation; b) acts of serious dishonesty or the destruction of property; c) allegations, which if true, present a reasonably foreseeable concern for the health and safety of others; d) allegations which if true present a reasonable concern that the unit member's students are suffering educational harm; and/or e) allegations the nature of which require the immediate preservation of physical evidence which may be compromised if the unit member is not placed on leave.

During any involuntary paid administrative leave, a unit full-time faculty member shall be entitled to remain in paid status with all benefits intact. In most circumstances, the District will have 90 working/duty-days from the date the unit member is placed on involuntary-paid administrative leave to either initiate disciplinary proceedings or reinstate the member. In most circumstances, the District shall either initiate disciplinary proceedings or reinstate the member before the member has been on involuntary administrative leave for 90 working day. This period shall run concurrently, and may be extended when necessary, as allowed by external law and regulation with the period of time as required to complete the investigation process.

42.2.1 General Notice of Leave: In most cases, the District shall provide written notice at least two (2) business working days prior to initiating an involuntary paid administrative leave. The notice will include a statement concerning the general nature of the allegation or allegations related to placement on leave. The notice may be combined with, or issued separate from,

notice of investigation as provided under Article 42.4-2.1. The notice shall may also include a non-contact directive with an explanation that while paid administrative leave constitutes a directive to stay away from the workplace, it does not apply to the CCFF office or areas and events that are open to the public. The notice may also instruct the member to remain available to the District for questioning during the employee's regular hours of work.

- Notice In Emergent Circumstances: Dependent upon the circumstances, the District may immediately initiate an involuntary-paid administrative leave without providing notice pursuant to Article 42.53.1 if the District determines that serious risk of physical danger or necessity arises from the specific allegation or allegations. In these circumstances, the District shall provide written notice, including a statement concerning the general nature of the allegation or allegations related to placement on leave, within five (5) business days of initiating the involuntary-paid administrative leave. The notice shall may also include a non-contact directive and an explanation that while paid administrative leave constitutes a directive to stay away from the workplace, it does not apply to prevent contact or consultation with CCFFthe CCFF office or areas and events that are open to the public. The notice may also instruct the member to remain available to the District for questioning during the employee's regular hours of work.
- 42.4 Representation Rights: At all stages of misconduct investigation, predisciplinary processes, the issuance of any corrective notice, or the initiation of statutory disciplinary proceedings, a full-time faculty unit member shall have the right to request representation from the Federation CCFF. The District shall include a "CCFF know your rights" flyer in any Notice(s) sent to a unit member. or their own representation. The District and Federation shall provide notice to the other party if a full-time faculty member notifies either party that the member elects to be represented by themselves during these processes. The District shall inform the unit member of their right to CCFF representation or the unit member's own representative.

Nothing in this Article shall waive or supersede the District's, CCFF's or a unit member's right to request and receive information and documents as allowed by the EERA, Education Code or other law.

42.6 Full Time Faculty Corrective Notice Procedures

42.6.1 When It is acknowledged that problems arise in the performance of assigned duties and responsibilities will sometime arise, the District will attempt to assist the Faculty member in and solving these problems through the evaluation process outlined in Article 16 shall be excluded from these procedures. It is acknowledged that sometimes problems arise in the performance of assigned duties and responsibilities. If a problem can be solved through the evaluation process (Article 16), then that problem shall be excluded from these procedures.

[Note: We are trying to distinguish between the "problem" and the "process," and want to be clear that the Art. 16 evaluation process is excluded. CCFF's proposed exclusion of the "problem" from this Art. 42 process would seemingly prevent remediation after a PIP, which seems contrary to what we're trying to define.]

No part of the video or audio recording or livestream of a distance education or HyFlex assignment may be used as evidence in or to initiate any type of disciplinary proceeding against the faculty member, nor shall it be accessed and/or utilized to view or review any part of the assignment for any reason, including a response to a complaint.

42.5 42.7 Corrective Notices: Any corrective notices shall be related to a demonstrated failure to meet professional standards as outlined in Article 4: Assignment.

- 42.8 Corrective notices shall be bBased upon credible knowledge of demonstrated failure to meet professional standards as outlined in Article

 4: Assignment misconduct and prior to the imposition of disciplinary sanctions, the District may issue a full-time faculty member a corrective notice for the purpose of remediating observed behavior. In no event shall a corrective notice be based upon unsupported complaints and/or hearsay. Corrective notices must be warranted. Such corrective notices shall be administered progressively, where appropriate. District-imposed corrective notices shall be limited to oral warnings, written warnings, and written reprimands, dependent upon the severity of the misconduct, inby the steps set forth below. The following Corrective Notices may be utilized by the District, dependent upon the severity of the misconduct, prior to any statutory processes:
 - 42.6.1 Step One: Oral warning: An oral warning is a verbal communication from the immediate manager regarding the performance of the professionals standards outlined in Article 4: Assignment. The oral warning shall be formally communicated to the Full Time Faculty in a private meeting between the Full Time Faculty and the immediate manager; however the Full Time Faculty shall have the right to have a CCFF representative or their own representation attend the meeting. The meeting shall be confidential. During the meeting the Full Time Faculty shall be made aware of the reason for the warning, shall be provided with specific examples of the issue in performance and may be provided a work plan that shall set forth observable and measurable performance goals.
 - 42.6.2 Step Two: If an oral warning does not result in corrected performance. a Wwritten Warning may be issued. Counseling Memorandum: The District may issue a counseling memorandum written warning to document the the lack of performance of the professionals standards outlined in Article 4: Assignment misconduct, potentially including the performance of the professionals standards, and memorialize directives- include a work plan intended to assist the full-time faculty member in remediating the conduct and/or in meeting the desired level of performance of the professional standard of assigned duties and responsibilities as outlined in Article 4: Assignment . Counseling memorandum The written warning will not be placed in the member's personnel file, and shall be kept in a separate confidential file maintained and accessed only by the Vice President of Human Resources. Provided there has been no repetition of similar conduct for a period two of onetwo years from the date of occurrence, the written warning counseling memorandum will be destroyed. The written warning must include (a) a summary of any previous oral warnings on this topic, (b) the specific rule, regulation, policy or procedure violated by the Full Time Faculty, (c) specific examples of the violation, (d) the desired level of performance of assigned duties

 and responsibilities required along with a work plan for improvement, and (e) the disciplinary consequences that shall result if the Full Time Faculty fails to demonstrate improvement. The written warning shall include (a) confirmation of whether there was an oral warning and (b) a description of the basis for the warning, and (c) corrective steps. Unit members may submit a written response to the Office of Human Resources no later than ten (10) working days after receipt of the written warning.

Step Three: Written Reprimand: If a full-time faculty member has received a counseling memorandum written warning for issues of similar conduct or the lack of performance of the professional standards outlined in Article 4: Assignment conduct within the preceding year two years, the District may issue a written reprimand to document the the performance of the professionals standards outlined in Article 4: Assignment and memorialize a work plan directives intended to assist the full-time faculty member in remediating the conduct and/or meeting the desired level of performance of assigned duties and responsibilities as outlined in Article 4: Assignment. Remediating the conduct. Such work plans directives may include a requirement that a member shall participate in a mandatory training, not to exceed two (2) hours, provided that such training must occur during the members assigned time. Any assigned training will be offered in a variety of modalities, when possible. The Full Time Faculty member will sign the reprimand to acknowledge receipt and a copy shall be placed in the member's personnel file, with which the member may attach a statement of rebuttal which shall be permanently attached to the reprimand. The written reprimand shall be removed upon the request of the unit member or CCFF the Federation provided there has been no repetition of similar conduct or performance concerns of the professional standards outlined in Article 4: Assignment conduct for a period of three four two years from the occurrence.

- Throughout the progressive discipline processConcurrent with any corrective notice, and when supporting information indicates that improvement is needed, the District may initiate a workimprovement corrective action plan that should set forth observable and measurable performance goals to improve documented performance deficiencies. Such goals are to be met within a specific, achievable time frame. If such a plan is contemplated, CCFF shall be notified. At the full-time faculty member's request, CCFF shall participate in developing the corrective action work plan. Mandatory training shall be included as a part of the work plan only in instances of Step Three: written reprimand.
- 42.6.4 The District shall not utilize other mechanisms, such as reassignment or mandatory training, as corrective measures. The District reserves the right to require mandatory trainings when necessary for legal compliance, and-shall continue to make assignments in accordance with Article 4.

APPENDIX X: EMPLOYEE NOTIFICATION SUMMARY FORM

This Notice is to advise you that the Human Resources Department has initiated an investigation into allegations that you may be involved in possible employee misconduct.

<u>Please read this Notice carefully, as it gives you information about the investigation process</u> and your rights during this process.

First, please note that the District takes a neutral stance when investigating possible misconduct and maintains the confidentiality of employee investigations to the fullest extent possible. No findings of wrongdoing have been made, and no findings will be made prior to completion of the investigation. Further, the investigation will not be closed until after the scheduled date for your interview.

An interview shall be scheduled no sooner than ten (10) business days after the receipt of the Employee Notification Form and Summary of Interview Subject Matter. The Respondent shall notify the Office of Human Resources of the date and times which they are available to attend the interview.

Please be further advised that the information received in your interview could lead to discipline. You are entitled to have union representation at that meeting, and to have the Union copied on communications related to this investigation.

Every effort will be made to complete the investigation within ninety (90) business days, and where this is not possible; you will receive a status update on where the District is in its investigation and when it expects to be completed. Once the investigation is complete, you will receive notice of the findings of the investigation.

In the event the investigation leads to disciplinary action, you will be afforded all the prediscipline due process rights to which you are entitled. This includes receiving a copy of the written investigation report. If you do not want CCFF to receive a copy of the written investigation report, please sign below and the District will not forward it to CCFF.

We also remind you that District policy and law prohibit retaliation of any kind against anyone you believe to have provided information or otherwise cooperated in this investigation, and that such conduct constitutes an independent basis for serious discipline up to and including termination.

If you do not want CCFF to automatically receive communications, information, and/or reports directly related to your misconduct investigation please sign and date below.

Name Date

Summary of Interview Subject Matter

This letter includes information about the nature of the reported incident including; the name of the complainant(s) or individual(s) whose concerns caused the District to initiate an investigation, what allegedly occurred, when and where the incident(s) allegedly occurred, and other information regarding the investigation process. Please note that you are considered to be the Respondent in this matter.

The District is committed to a neutral investigation, reports are presumed to have been made in good-faith, and Respondents are presumed not responsible.

An interview shall be scheduled no sooner than ten (10) business days after the receipt of this Summary of Interview Subject Matter. The Respondent shall notify the Office of Human Resources of the date and times which they are available to attend the interview.

391 392 393 394 395 396	For the District:	For the Cerritos College Faculty Federation AFT Local 6215:
397 398 399 400 401	Dr. Mercedes Gutierrez Vice-President, Human Resources/ Assistant Superintendent	Dr. April Bracamontes CCFF/Lead Negotiator
402 403 404		Dr. Lynn Wang CCFF President